

TO BE COMPLETED BY ALL NATURAL PERSONS

## Section 1

Personal  
Information

Name

Surname (Family name)

Permanent residential address (a PO box or a c/o address is not acceptable)

  

Date of birth (DD/MM/YYYY)

Country of birth

Identity number (SELECT - ID Card, Passport, Driving license, Residence card)

Nationality

Profession/trade/occupation

Country of employment

Email address

Telephone / Mobile number (country, area code and number)

## Section 2

## Representation

Are you acting on your own behalf or on behalf of a third party?

☐

On my own behalf

☐

On behalf of a third party

☐

On my own behalf and on behalf of a third party

If acting on behalf of a third party kindly fill in the following information relating to the person you are representing and provide a valid power of attorney.

Name

Surname (Family name)

Permanent residential address (a PO box or a c/o address is not acceptable)

  

Date of birth (DD/MM/YYYY)

Country of birth

Identity number (SELECT - ID Card, Passport, Driving license, Residence card)

Nationality

Profession/trade/occupation

Country of employment

## Section 3

## Source of Wealth

Indicate the source from which you obtain your **total net-worth** (e.g. employment; profession; business)

Source details (e.g. type of business/ profession / employer name etc.)

Country where applicant has main economic activity

Other (Please specify)

**Source of Funds**

Please indicate the method of payment to be used for this particular transaction:

Cash	€ <input type="text"/>	Cheque	€ <input type="text"/>	Bank Transfer	€ <input type="text"/>
Other	<input type="text"/>				

Please indicate the source(s) from which you and/or the person you are representing obtained the funds for this transaction:

<input type="checkbox"/> Saved earnings <input type="text"/> € - Recent Payslip and - Recent Bank Statements showing receipt of regular payments from Employer and - FS 3 / Income Tax Return	<input type="checkbox"/> Sale of immovable property <input type="text"/> € - Copy of Deed of Sale or - Notarial Declaration detailing property address, date of sale, proceeds received and name of Purchaser or - Notarised Note of Enrollment
<input type="checkbox"/> Company profits / dividends <input type="text"/> € - Copy of Audited Company Accounts showing the relative dividend details and - Dividends Certificate	<input type="checkbox"/> Sale of movable property (e.g. car, boat, etc.) <input type="text"/> € - Identification Document of Transferee and - Copy of Transfer Documentation or - Declaration Letter signed by Transport Malta or any official from an equivalent Authority in a foreign jurisdiction, on Authority letter-head paper, confirming such transfer or - Notarial Declaration Letter detailing transfer item, value of transfer and date of transfer
<input type="checkbox"/> Investments <input type="text"/> € - Bank Statement showing receipt of funds and investment company name or - Statement showing maturity / release of funds from Investment Co. Letter-head or - Certificate of Transfer	<input type="checkbox"/> Inheritance / Trust Beneficiary <input type="text"/> € - Notarial Declaration detailing the Applicant as heir and beneficiary, including the value of the estate acquired as a result of said Trust or inheritance, where possible, including an extract from such Trust / Inheritance Deed or - Certified Copy of Trust / Inheritance Deed or - Causa Mortis or - Trust deed extract/ Full Trust deed
<input type="checkbox"/> Maturity of insurance policy <input type="text"/> € - Certified Copy of Closing Statement of Insurance Policy from Insurance Company on company letter-head paper or - Letter detailing investment maturity details signed by Investment Company Manager detailing the Applicant as beneficiary, including the value withdrawn at maturity	<input type="checkbox"/> Gift or donation from family <input type="text"/> € - Identification Document of Donor and - Donor to complete KYC Form and - Copy of Donation Deed and - Documentary evidence of the Donor's source of funds as detailed in this table,
<input type="checkbox"/> Bank loan (Please specify amount) <input type="text"/> € - Copy of Bank Loan Deed detailing the Applicant as Debtor - Copy of Bank Statement clearly indicating Bank Loan Account, signed and dated by a Bank Official	
<input type="checkbox"/> Private loan (Please specify amount and entity/person providing the loan) <input type="text"/> € - Copy of Private Loan Deed detailing the Applicant as Borrower and - Identification Document of Lender on the Deed and - Lender to complete KYC Form and - Documentary evidence of the Lender's source of funds as detailed in this table	<input type="text"/>
<input type="checkbox"/> Any other (Please specify)	<input type="text"/>
Country from which the funds relative to this particular transaction originate from	<input type="text"/>

## Section 4

**Politically Exposed Person**

*Individuals (including family members and close associates) who hold prominent public positions/offices. (i) Heads of State or Government, Ministers & Deputy & Assistant Ministers & Parliamentary Secretaries; (ii) Members of Parliament; (iii) members of the Courts or other high-level judicial bodies whose decisions are not subject to further appeal; (iv) members of courts of auditors, Audit Committees or of boards of central banks; (v) ambassadors, charges d'affaires & other high ranking officers in the armed forces; (vi) members of the administrative, management or boards of State-owned corporations. **Family members** include: 1. the spouse, or any partner recognised by national law 2. the children & their spouses or partners; & 3. the parents. **Close associate** includes any natural person known to have a joint/sole beneficial ownership in a legal entity with a PEP or for the benefit of a PEP.*

Are you and / or the person you are representing a politically exposed person?

☐

Yes

☐

No

If yes, please specify

Name of PEP

Relationship with PEP

Position held by the PEP

Please indicate the country from which you or the person/s you are representing obtained the PEP status

Up to (DD/MM/YYYY)

## Section 5

**Declaration**

*By signing this document I declare and confirm that:*

- 1. I was not convicted of any offence (other than a minor offence in connection with the use or ownership of a motor vehicle);*
- 2. I am not involved in any criminal, money-laundering or terrorist funding activity and the funds that are and will be involved in the transaction are clean and do not derive from any illegal and/or illicit activities nor will they be used for any such purpose;*
- 3. I was not adjudged bankrupt and am not and was not a director, shareholder or manager of a business entity which has been the subject of an investigation, or which has been adjudged bankrupt, compulsorily wound up or has made a compromise or arrangement with its creditors;*
- 4. I am not and was not the subject of an investigation by a governmental, professional or other regulatory or statutory body;*
- 5. I am not and was never subject to any freezing order or Court Enforced Prohibition on disposing of my assets, both movable or immovable and/or any financial sanctions or any proceedings in relation to any offence involving money-laundering and/or funding of terrorism; and*
- 6. That I am not acting on behalf of any other undeclared third persons and the information provided by me and inserted in this form is correct and not misleading. Furthermore, I have not withheld any relevant information; I furthermore undertake to immediately inform the Association (UVIA) of any changes to the statements made in this form and/or to any information or documentation presented with this form.*

**Sanctions & Enforcements**

I am subject to the following:

Sanctions

(EU, UN, OFAC, etc...)

Legal Enforcements

(e.g. Garnishee orders etc...)

**Data Protection**

*In terms of the applicable Data Protection rules and regulations, the Association (UVIA) will process the above data which I have provided or which I may subsequently provide to the Association (UVIA) in any manner, for the following purposes: To be able to process this application; For due diligence procedures, risk assessment and analysis; To conform to any laws, rules and regulations imposed on the Association (UVIA) by any relevant authority and/or regulator. I consent to the processing of such data for the purpose specified above and consent to the disclosure of information given above to an authority and/or regulator and/or outsourced customer due diligence service provider. I undertake that the Association (UVIA) reserves the right to request more information/documentation. I undertake that the Association (UVIA) also reserves the right at its absolute discretion and without incurring any liability, to reject an applicant without having to give any reason for such rejection.*

Signed

(on my own behalf and on behalf of any person I am representing)

Date (DD/MM/YYYY)